PATENT 2450001-2000.2



## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Gary KATZ and Greg MAYNARD

Serial No.

10/771,993

For

AUTOMATED EXCHANGE FOR TRADING OF

**COMPLEX ORDERS** 

Filed

:

February 3, 2004

Examiner

TBA

Art Unit

3622

745 Fifth Avenue New York, NY 10151

I hereby excitify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on.

Stephen J. Lieb (Reg. No. 41,943)

Name of Applicant, Assignee or Rogistered Representative

Signature

October 12, **2**004

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§1.56, 1.97 and 1.98, Applicants hereby make of record the document cited in the enclosed copy of form PTO 1449. A copy of the document cited is enclosed.

00222967

Applicants state that no item of information contained in this information disclosure statement ("IDS") was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in §1.56(c) more than three months prior to the filing of the IDS.

Applicants believe no additional fee is required. Should any additional fee be required for this IDS, Applicants authorize the Assistant Commissioner to charge such fee, or credit any overpayment, to Deposit Account 50-0320.

Enclosed is a duplicate of form PTO-1449. Applicants request that the Examiner initial and date the form and return one copy to Applicants.

Early consideration of this Information Disclosure Statement is earnestly solicited.

By:

Stephen J. Lieb

Reg. No. 41,943

Attorney for Applicants

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

745 Fifth Avenue

New York, New York 10151 Tel. No.: (212) 588-0800

Fax No.: (212) 588-0500

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